

**PRESIDENTIAL DECREE NO. 968  
ESTABLISHING A PROBATION SYSTEM,  
APPROPRIATING  
FUNDS THEREFORE AND FOR OTHER PURPOSES<sup>1</sup>**

**WHEREAS**, one of the major goals of the government is to establish a more enlightened and humane correctional system that will promote the reformation of offenders and thereby reduce the incidence of recidivism;

**WHEREAS**, the confinement of all offenders in prisons and other institutions with rehabilitation programs constitutes a onerous drain on the financial resources of the country; and

**WHEREAS**, there is a need to provide a less costly alternative to the imprisonment of offenders who are likely to respond to individualized, community-based treatment programs;

**NOW, THEREFORE, I FERDINAND E. MARCOS**, President of the Philippines, by virtue of the powers vested in me by the Constitution, do hereby order and decree the following:

**SEC. 1. Title and Scope of the Decree.** - This Decree shall be known as the Probation Law of 1976. It shall apply to all offenders except those entitled to the benefits under the provisions of Presidential Decree numbered Six Hundred and Three and similar laws.

**SEC. 2. - Purpose.** - This Decree shall be interpreted so as to:

- (a) promote the correction and rehabilitation of an offender by providing him with individualized treatment;
- (b) provide an opportunity for the reformation of a penitent offender which might be less probable if he were to serve a prison sentence; and
- (c) prevent the commission of offenses.

**SEC. 3. Meaning of Terms.** - As used in this Decree, the following shall, unless the context otherwise requires, be construed thus:

- (a) **“Probation”** is a disposition under which a defendant, after conviction and sentence, is released subject to conditions imposed by the supervision of probation officer.
- (b) **“Probationer”** means a person placed on a probation.
- (c) **“Probation Officer”** means one who investigates for the court a referral for probation or supervises a probationer or both.

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<sup>1</sup> As amended by Presidential Decree No. 1257, Batas Pambansa Blg. 76, and further amended by PD 1990

**SEC. 4. Grant of Probation.** - Subject to the provisions of this Decree, the trial court may, after it shall have convicted and sentenced a defendant, and upon application by said defendant within the period for perfecting an appeal, suspend the execution of the sentence and place the defendant on probation for such period and upon such terms and conditions as it may deem best; Provided, That, no application for probation shall be entertained or granted if the defendant has perfected an appeal from the judgment of conviction.

Probation may be granted whether the sentence imposes a term of imprisonment or a fine only. An application for probation shall be deemed a waiver of the right to appeal.

An order granting or denying probation shall not be appealable.<sup>2</sup>

**SEC. 5. Post-Sentence Investigation.** - No person shall be placed on probation except upon prior investigation by the probation officer and a determination by the court that the ends of justice and the best interest of the public as well as that of the defendant will be served thereby.

**SEC. 6. Form of Investigation Report.** - The investigation report to be submitted by the probation officer under Section 5 hereof shall be in the form prescribed by the Probation Administrator and approved by the Secretary of Justice.

**SEC. 7. Period for Submission of Investigation Report.** - The probation officer shall submit to the court the investigation report on a defendant not later than sixty days from receipt of the order of said court to conduct the investigation. The court shall resolve the application for probation not later than fifteen days after receipt of said report.<sup>3</sup>

Pending submission of the investigation report and the resolution of the petition, the defendant may be allowed on temporary liberty under his bail filed in the criminal case; Provided, That, in case where no bail was filed or that the defendant is incapable of filing one, the court may allow the release of the defendant on recognizance to the custody of a responsible member of the community who shall guarantee his appearance whenever required by the court.

**SEC. 8. Criteria for Placing an Offender on Probation.** - In determining whether an offender may be placed on probation, the court shall consider all information relative to the character, antecedents, environment, mental and physical condition of the offender, and available institutional and community resource. Probation shall be denied if the court finds that:

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<sup>2</sup> As amended by Sec. 1, PD 1257 and Sec. 1, PD 1990

<sup>3</sup> As amended by Sec. 2, PD 1257

(a) the offender is in need of correctional treatment that can be provided most effectively by his commitment to an institution;

(b) there is an undue risk that during the period of probation, the offender will commit another crime; or

(c) probation will depreciate the seriousness of the offense committed.

**SEC. 9. Disqualified Offenders.** - The benefits of this Decree shall not be extended to those:

(a) sentenced to serve a maximum term of imprisonment of not more than six years;

(b) convicted of subversion or any crime against the national security or the public order;

(c) who have previously been convicted by final judgment of an offense punished by imprisonment of not less than one month and one day and/or a fine of not less than Two Hundred Pesos;

(d) who have been once on probation under the provisions of this Decree; and

(e) who are already serving sentence at the time the substantive provisions of this Decree became applicable pursuant to Section 33 hereof.<sup>4</sup>

**SEC. 10. Conditions of Probation.** - Every probation order issued by the court shall contain conditions requiring that the probationer shall;

(a) present himself to the probation officer designated to undertake his supervision at such place as may be specified in the order within seventy-two hours from receipt of said order;

(b) report to the probation officer at least once a month at such time and place as specified by said officer.

**The court may also require the probationer to:**

(a) cooperate with a program of supervision;

(b) meet his family responsibilities;

(c) devote himself to specific employment and not to change said employment without the prior written approval of the probation officer;

(d) undergo medical, psychological, or psychiatric examination and treatment and enter and remain in a specified institution, when required for that purpose;

(e) pursue a prescribed secular study or vocational training;

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<sup>4</sup> As amended by Sec. 1, BP Blg. 76 and Sec. 2, PD 1990

- (f) attend or reside in a facility established for instruction, recreation or residence of persons on probation;
- (g) refrain from visiting houses of ill-repute;
- (h) abstain from drinking intoxicating beverages to excess;
- (i) permit the probation officer or an authorized social worker to visit his home and place of work;
- (j) reside at premises approved by it and not to change his residence without its prior written approval; or
- (k) satisfy any other condition related to the rehabilitation of the defendant and not unduly restrictive of his liberty or incompatible with his freedom of conscience.

**SEC. 11. Effectivity of Probation Order.** - A probation order shall take effect upon its issuance, at which time the court shall inform the offender of the consequence thereof and explain that upon his failure to comply with any of the conditions prescribed in the said order or his commission of another offense, he shall serve the penalty imposed for the offense under which he was placed on probation.

**SEC. 12. Modification of conditions of Probation.** - During the period of probation, the court may, upon application of either the probationer or the probation officer, revise or modify the conditions or period of probation. The court shall notify either the probationer or the probation officer of the filing of such an application so as to give both parties an opportunity to be heard thereon.

The court shall inform in writing the probation officer and the probationer of any change in the period or conditions of probation.

**Sec. 13. Control and Supervision of Probationer.** - The probationer and his probation program shall be under the control of the court who placed him on probation subject to actual supervision and visitation by a probation officer.

Whenever a probationer is permitted to reside in a place under the jurisdiction of another court, control over him shall be transferred to the Executive Judge of the Court of First Instance of that place, in such a case, a copy of the probation order, the investigation report and other pertinent records shall be furnished said Executive Judge. Thereafter, The Executive Judge to whom jurisdiction over the probationer is transferred shall have the power with respect to him that was previously possessed by the court which granted the probation.

**SEC. 14. Period of Probation.** -

(a) the period of probation of a defendant sentenced to a term of imprisonment of not more than one year shall not exceed two years, and in all other cases, said period shall not exceed six years.

(b) When the sentence imposes a fine only and the offender is made to serve subsidiary imprisonment in case of insolvency, the period of probation shall not be less than nor be more than twice the total number of days of subsidiary imprisonment as computed at the rate established in Article thirty-nine of the Revised Penal Code, as amended.

**SEC. 15. Arrest of Probationers: Subsequent Disposition.** - At any time during probation, the court may issue a warrant for the arrest of a probationer for any serious violation of the conditions of probation. The probationer, once arrested and detained, shall immediately be brought before the court for a hearing of the violation charged. The defendant may be admitted to bail pending such hearing. In such case, the provisions regarding release on bail of persons charged with a crime shall be applicable to probationers arrested under this provision.<sup>5</sup>

In the hearing, which shall be summary in nature, the probationer shall have the right to be informed of the violation charged and to adduce evidence in his favor. The court shall not be bound by technical rules of evidence but may inform itself of all the facts which are material and relevant to ascertain the veracity of the charge. The State shall be represented by a prosecuting officer in any contested hearing. If the violation is established, the court may revoke or continue his probation and modify the conditions thereof. If revoked, the court shall order the probationer to serve the sentence originally imposed. An order revoking the grant of probation or modifying the terms and conditions thereof shall not be appealable.<sup>6</sup>

**SEC. 16. Termination of Probation.** - After the period of probation and upon consideration of the report and recommendation of the probation officer, the court may order the final discharge of the probationer upon finding that he has fulfilled the terms and conditions of his probation and thereupon the case is deemed terminated.

The final discharge of the probationer shall operate to restore to him all civil rights lost or suspended as a result of his conviction and to fully discharge his liability for any fine imposed as to the offense for which probation was granted.

The probationer and the probation officer shall each be furnished with a copy of such order.

**SEC. 17. Confidentiality of Records.** - the investigation report and the supervision history of a probationer obtained under this Decree shall be privileged and shall not be disclosed directly or indirectly to anyone other than the Probation Administration or the court concerned, except that the court, in its discretion, may permit the probationer or his attorney to inspect the aforementioned documents or parts thereof whenever the best interest of the probationer makes such disclosure desirable or helpful; Provided, Further, That, any government office or agency engaged in the correction or rehabilitation of offenders may, if necessary, obtain copies of said documents for its official use from the proper court or the Administration.

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<sup>5</sup> As amended by Sec. 3, PD 1257

<sup>6</sup> Ibid.

**SEC. 18. The Probation Administration.** - There is hereby created under the Department of Justice an agency to be known as the Probation Administration herein referred to as the Administration, which shall exercise general supervision over all probationers.

The Administration shall have such staff, operating units and personnel as may be necessary for the proper execution of its functions.

**SEC. 19. Probation Administrator.** - The Administration shall be headed by the Probation Administrator, hereinafter referred to as the Administrator, who shall be appointed by the President of the Philippines. He shall hold office during good behavior and shall not be removed except for cause.

The Administrator shall receive an annual salary of at least forty thousand pesos. His powers and duties shall be to:

- (a) act as the executive officer of the Administration;
- (b) exercise supervision and control over all probation officers;
- (c) make annual reports to the Secretary of Justice, in such form as the latter may prescribe, concerning the operation, administration and improvement of the probation system;
- (d) promulgate, subject to the approval of the Secretary of Justice, the necessary rules relative to the methods and procedures of the probation process;
- (e) recommend to the Secretary of Justice the appointment of the subordinate personnel of his Administration and other offices established in this Decree; and
- (f) generally, perform such duties and exercise such power as may be necessary or incidental to achieve the objectives of this Decree.

**SEC. 20. Assistant Probation Administrator.** - There shall be an Assistant Probation Administrator who shall assist the Administrator and perform such duties as may be assigned to him by the latter and as maybe provided by law. In the absence of the Administrator, he shall act as head of the Administration.

He shall be appointed by the President of the Philippines and shall receive an annual salary of at least thirty-six thousand pesos.

**SEC. 21. Qualifications of the Administrator and Assistant Probation Administrator.** - To be eligible for appointment as Administrator, a person must be at least thirty-five years of age, holder of a master's degree or its equivalent in either criminology, social work, corrections penology, psychology, sociology, public administration, law, police science, police administration, or related fields, and should have at least five years of supervisory experience, or be a member of the Philippine Bar with at least seven years of supervisory experience.

**SEC. 22. Regional Office; Regional Probation Officer.** - The administration shall have regional offices organized in accordance with the field service area pattern established under the Integrated Reorganization Plan.

Such regional offices shall be headed by a Regional Probation Officer who shall be appointed by the President of the Philippines in accordance with the

Integrated Reorganization Plan and upon the recommendation of the Secretary of Justice.

The Regional Probation Officer shall exercise supervision and control over all probation officers within his jurisdiction and such duties as may be assigned to him by the Administrator. He shall have an annual salary of at least twenty-four thousand pesos.

He shall, whenever necessary, be assisted by an assistant Regional Probation Officer who shall also be appointed by the President of the Philippines, upon recommendation of the Secretary of Justice, with an estimated annual salary of at least twenty thousand pesos.

**SEC. 23. Provincial and City Probation Officers.** - There shall be at least one probation officer in each province and city who shall be appointed by the Secretary of Justice upon recommendation of the Administrator and in accordance with civil service law and rules.

The Provincial or City Probation Officer shall receive an annual salary of at least eighteen thousand four hundred pesos.

His duties shall be to;

(a) investigate all persons referred to him for investigation by the proper court or the Administration;

(b) instruct all probationers under his supervision or that of the probation aide on the terms and conditions of their probation;

(c) keep himself informed of the conduct and condition of probationers under his charge and use all suitable methods to bring about an improvement in their conduct and condition;

(d) maintain a detailed report of his work, and submit such written reports as may be required by the Administration or the court having jurisdiction over the probationer under his supervision;

(e) prepare a list of qualified residents of the province or city where he is assigned who are willing to act as probation aides;

(f) supervise the training of probation aides and oversee the latter's supervision of probationers;

(g) exercise supervision and control over all field assistants, probation aides and other personnel; and

(h) perform such duties as may be assigned by the court or the Administration.

**SEC. 24. Miscellaneous Powers of provincial and City Probation Officers.** - Provincial or City Probation Officers shall have the authority within their territorial jurisdiction to administer oaths and acknowledgments and to take depositions in connection with their duties and functions under this Decree. They

shall also have, with respect to probationers under their care, the powers of a police officer.

**SEC. 25. Qualifications of Regional, Assistant Regional, Provincial and City Probation Officers.** - No person shall be appointed Regional or Assistant Regional or Provincial or City Probation Officer unless he possesses at least a bachelor's degree with a major in social work, sociology, psychology, criminology, penology, corrections, police science, police administration, or related fields and has at least three years of experience in work requiring any of the above-mentioned disciplines, or is a member of the Philippine Bar with at least three years of supervisory experience.

Whenever practicable, the Provincial or City Probation Officer shall be appointed from among qualified residents of the province or city where he will be assigned to work.

**SEC. 26. Organization.** - Within twelve months from the approval of this Decree, the Secretary of Justice shall organize the administrative structure of the Administration and the other offices created herein. During said period, he shall also determine the staffing patterns of the regional, provincial and city probation offices with the end in view of achieving maximum efficiency and economy in the operations of the probation system.

**SEC. 27. Field Assistants, Subordinate Personnel.** - Provincial or City Probation Officers shall be assisted by such field assistants and subordinate personnel as may be necessary to enable them to carry out their duties effectively.

**SEC. 28. Probation Aides.** - To assist the Provincial or City Probation Officers in the supervision of probationers the Probation Administrator may appoint citizens of good repute and probity to act as probation aides.

Probation Aides shall not receive any regular compensation for services except for reasonable travel allowance. They shall hold office for such period as may be determined by the Probation Administrator. Their qualifications and maximum case loads shall be provided in the rules promulgated pursuant to this Decree.

**SEC. 29. Violation of Confidential Nature of Probation Records.** - The penalty of imprisonment ranging from six months and one day to six years and a fine ranging from six hundred to six thousand pesos shall be imposed upon any person who violates Section 17 hereof.

**SEC. 30. Appropriations.** - There is hereby authorized the appropriation of the sum of Six Million Five Hundred Thousand Pesos or so much as may be necessary, out of any funds in the National Treasury not otherwise appropriated, to carry out the purposes of this Decree. Thereafter, the amount of at least Ten Million Five Hundred Thousand Pesos or so much as may be necessary shall be included in the annual appropriations of the national government.



**SEC. 31. Repealing Clause.** - All provisions of existing laws, orders and regulations contrary to or inconsistent with this Decree are hereby repealed or modified accordingly.

**SEC. 32. Separability of Provisions.** - If any part, section or provision of this Decree shall be held invalid or unconstitutional, no other parts, section or provisions hereof shall be affected thereby.

**SEC. 33. Effectivity.** - This Decree shall take effect upon its approval; Provided, However, That the application of its substantive provisions concerning the grant of probation shall only take effect on January 3, 1978.<sup>7</sup>

Done in the City of Manila this 24th day of July in the year of Our Lord, nineteen hundred and seventy-six.<sup>8</sup>

(Sgd.) FERDINAND E. MARCOS

By the President:

(Sgd.) J. C. TUVERA  
Presidential Assistant  
(in PD 968)

(Sgd.) JACOBO C. CLAVE  
Presidential Executive Assistant  
(in PD 1257)

(Sgd.) JUAN C. TUVERA  
Presidential Executive Assistant  
(in PD 1990)

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<sup>7</sup> As amended by Sec. 4, PD 1257

<sup>8</sup> PD 1257 took effect upon its issuance by the President on December 1, 1977; BP Blg. 76, passed by the Batasang Pambansa on June 9, 1980, took effect upon its approval by the President on June 13, 1980, PD 1990, took effect on 15 July 1986, fifteen (15) days after release of the 30 December 1985 issue of the Official Gazette publishing said Decree.